

Private individual application

Investment manager/adviser firm name

Existing account number(s) if applicable

Request New account Additional account Change of details

Individual or joint account Individual account Joint account

1 First applicant's details

Title Full name

Permanent residential address

Postcode Country

Telephone number (If number is non-UK, state full dialling code and country)

Date of birth Country of birth

Nationality

Country	NPI/NINO
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Countries of residence for tax purposes – please list **ALL** relevant countries below

Country	Tax ID number *	Tax ID type (e.g. NINO, TIN, SSN)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

* If you do not have a Tax ID number for any of the countries listed, please explain why.

If any of the following apply you must supply a Form W9:

- You are a US investor intending to invest in US securities Enclosed Previously supplied N/A
- You are an individual who is resident for tax in the US
- You are an individual who is a US Citizen

If you are a non-US investor intending to invest in US securities, you must supply a Form W-8BEN Enclosed Previously supplied N/A

If you are intending to invest in Canadian securities, you must supply a Form NR301 Enclosed Previously supplied N/A

2 Second applicant details (if joint account)

Title Full name

Permanent residential address

Postcode Country

Telephone number *(If number is non-UK, state full dialling code and country)*

Date of birth Country of birth

Nationality

Country	NPI/NINO
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Countries of residence for tax purposes – please list **ALL** relevant countries below

Country	Tax ID number *	Tax ID type (e.g. NINO, TIN, SSN)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

* If you do not have a Tax ID number for any of the countries listed, please explain why.

If any of the following apply you must supply a Form W9:

- You are a US investor intending to invest in US securities Enclosed Previously supplied N/A
- You are an individual who is resident for tax in the US
- You are an individual who is a US Citizen

If you are a non-US investor intending to invest in US securities, you must supply a Form W-8BEN Enclosed Previously supplied N/A

If you are intending to invest in Canadian securities, you must supply a Form NR301 Enclosed Previously supplied N/A

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Declaration

The information provided in this application and in any other supporting documentation is, to the best of my knowledge and belief, true, correct, complete and not misleading.

As a Financial Institution, AJ Bell Securities Limited is subject to UK regulations regarding international tax compliance, most notably in relation to the US Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard (CRS). I am aware that you may be obliged to share the information in this application form with UK tax authorities, who may pass it on to other relevant tax authorities.

I confirm that I will notify the investment manager / adviser firm of any changes to information provided in this application without delay.

First applicant's name	<input type="text"/>		
First applicant's signature	<input type="text"/>	Date	<input type="text"/>
Second applicant's name	<input type="text"/>		
Second applicant's signature	<input type="text"/>	Date	<input type="text"/>

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Investment manager/adviser firm's declaration

The information provided in this application and in any other supporting documentation is, to the best of my knowledge and belief, correct, complete and not misleading.

I confirm that I am in possession of the original self-certification and any supporting documents. Where there have been any indications of reportable data, these documents (or certified copies) have been provided to AJ Bell Securities Limited. I confirm that self-certification has been made by either the persons named in this application or persons authorised to sign on their behalf.

I confirm that I will notify you of any changes to the information provided in this application without delay.

We have undertaken a suitability assessment and all persons named in this application can /cannot be classed as suitable investors in Complex Financial Instruments.

Name of contact for this application	<input type="text"/>		
Name of authorising manager (1)	<input type="text"/>		
Signature	<input type="text"/>	Date	<input type="text"/>
Name of authorising manager (2)	<input type="text"/>		
Signature	<input type="text"/>	Date	<input type="text"/>